SEC Form 4

# FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b). 

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person <sup>*</sup> Kvalheim Grant			2. Issuer Name <b>and</b> Ticker or Trading Symbol <u>Athene Holding Ltd</u> [ ATH ]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)					
(Last)			3. Date of Earliest Transaction (Month/Day/Year) 08/24/2018	x	Director Officer (give below)	title See Remarks	10% Owner Other (specify below)		
Street) (Street) (City)	FIRST FLOOR, 96 PITTS BAY ROAD (Street) PEMBROKE D0 HM08		4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indiv X	Form filed by	y One Reporting	ck Applicable Line) Person ∌ Reporting Person		

#### Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership
			Code V		Amount (A) or (D)		Price	Transaction(s) (Instr. 3 and 4)		(Instr. 4)
Class A Common Shares	08/24/2018		S <sup>(1)</sup>		77	D	\$50	52,191	I	By Grant Kvalheim 2009 Children's Non- Exempt Trust
Class A Common Shares								1,631,434	D	
Class A Common Shares								37,150	I	By Grant Kvalheim 2009 Children's Gst Exempt Trust - Dk
Class A Common Shares								37,150	I	By Grant Kvalheim 2009 Children's Gst Exempt Trust - Lk
Class A Common Shares								37,150	I	By Grant Kvalheim 2009 Children's Gst Exempt Trust - Mk

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security			Transaction Code (Instr. 8)		Derivative		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported	Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	]	Transaction(s) (Instr. 4)	

#### Explanation of Responses:

1. The sales reported on this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the Reporting Person on January 9, 2018.

### Remarks:

Chief Executive Officer - Athene USA Corporation; Exhibit 24.1 - Power of Attorney (incorporated by reference to the Power of Attorney filed as Exhibit 24.1 to the Form 3 filed by the Reporting Person on December 9, 2016)

/s/ Ira Rosenblatt, attorney-in-fact 08/27/2018

\*\* Signature of Reporting Person

#### OMB APPROVAL

OMB Number:	3235-0287			
Estimated average burden				
hours per response:	0.5			

Date

 $^{\star}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.